JUL 3 U 2003 IN TRUE L

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

HADLACZKY et al.

Serial No.

09/724,693/

Filed:

For:

November 28, 2000

Customer No.:

24961

Confirmation No.: 7857

ARTIFICIAL CHROMOSOMES.

USES THEREOF AND METHODS

FOR PREPARING ARTIFICIAL

CHROMOSOMES

Art Unit:

1632

Examiner:

Shukla, R.R.

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number

EV 335465008 US

Date of Deposit July 30, 2003

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee"

Service under 37 C.F.R. §1.10 on the date

an Ona

indicated above and addressed to:

Commissioner for Patents

U.S. Patent and Trademark Office

P.O. Box 1450

Alexandria, VA 22313-1450

07/30/2003

Date

TRANSMITTAL LETTER

Commissioner for Patents U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith are a Supplemental Information Disclosure statement, Form PTO-1449 (2 pages) and cited references for filing in connection with the above-identified application.

(X) The Commissioner is hereby authorized to charge the fee for the Information Disclosure Statement and any other fees that may be due under 37 C.F.R. §§1.16-1.17 in connection with this paper or with this application during its entire pendency to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully submitted

HELLER EHRMAN WHITE & McAULIFFE LLP

Bv:

Stephanie L. Seidman Registration No. 33,779

Attorney Docket No. 24601-402G

Address all correspondence to:

Stephanie L. Seidman

HELLER EHRMAN WHITE & McAULIFFE 4350 La Jolla Village Drive, 7th Floor

San Diego, California 92122-1246

Telephone: (858) 450-8400 Facsimile: (858) 587-5360 EMAIL: sseidman@hewm.com

? .

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: HADLACZKY et al.

erial No.: 09/724,693

November 28, 2000

JUL 3 0 2000 customer No.: 24961 Ahfirmation No.: 7857

> For: ARTIFICIAL CHROMOSOMES. USES THEREOF AND METHODS FOR PREPARING ARTIFICIAL **CHROMOSOMES**

Art Unit:

1632

Examiner:

Shulka, R.R.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label Number

EV 335465008 US

Date of Deposit July 30, 2003

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 C.F.R. §1.10 on the date

indicated above and addressed to:

Commissioner for Patents U.S. Patent and Trademark Office

P.O. Box 1450

Alexandria, VA 22313-1450

07/30/2003 Date

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN **ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98**

Commissioner for Patents U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Since this Supplemental Information Disclosure Statement is filed before the receipt of a first Office Action on the merits for the above-captioned application, no filing fee is due. If it is determined that a fee is due, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1213.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (2 pages) and copies of the cited documents are provided herewith.

The documents listed on Form PTO-1449 and supplied herewith are in the English language. Hence, in accordance with the requirements of 37 C.F.R. § 1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Applicant also makes known to the Examiner the following U.S. and International applications which are commonly owned and/or have one or more inventors in common.

U.S.S.N. 09/724,693 Hadlaczky *et al.* Supplemental Information Disclosure Stat ment

U.S.S.N.	Filing Date	Docket N .
10/219,694	08/14/02	24601-402M
10/287,313	11/01/02	24601-402N
10/235,119	09/03/02	24601-416D
10/161,408	05/30/02	24601-419
10/428,653	05/01/03	24601-426
Int'l App. No.	Filing Date	Docket No.
PCT/US02/17451	05/30/02	24601-419PC

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and information and that they be made of record in the file history of the above-captioned application.

Respectfully submitted,

HELLER EHRMAN WHITE & McAULIFFE LLP

By:

Stepkanie L. Seidman Registration No. 33,779

Attorney Docket No.: 24601-402G Address all correspondence to:

Stephanie L. Seidman

HELLER EHRMAN WHITE & McAULIFFE LLP

4350 La Jolla Village Drive, 7th Floor San Diego, California 92122-1246

Telephone: (858) 450-8400 Facsimile: (858) 587-5360 EMAIL: sseidman@hewm.com

SERIAL NO. CONFIRM NO. **FORM PTO-1449** ATTY. DOCKET NO. 24601-402G 09/724,693 7857 APPLICANT CUSTOMER NO. OF PATENTS AND PUBLICATIONS FOR 24961 HADLACZKY et al. CANT'S INFORMATION DISCLOSURE STATEMENT **GROUP** FILING DATE November 28, 2000 1632

thisk is placed beside the reference number, a copy is NOT provided because the reference was previously cited by or submitted to the prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. § 1.98(d).

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*Ref. Code	DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE
	А	5	2	7	0	2	0	1	12/14/93	Richards et al.	435	240.4	03/30/92
	В	6	1	0	0	0	9	2	08/08/00	Borysyuk et al.	435	468	06/15/98
	С	6	3	5	5	8	6	0	03/12/02	Borysyuk <i>et al</i> .	800	278	06/15/99

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	*Ref. Code	DOCUMENT NUMBER						DATE	COUNTRY	CLASS	SUB CLASS	Trans Yes	slation No
NONE													

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

D	Berlani <i>et al.</i> , "Genomic organization of two families of highly repeated nuclear DNA sequences of maize selected for autonomous replicating activity in yeast", <i>Plant Molecular Biol.</i> , <u>11</u> :161-172 (1988)
 E	Berlani <i>et al.</i> , "Sequence analysis of three fragments of maize nuclear DNA which replicate autonomously in yeast", <i>Plant Molecular Biol.</i> , <u>11</u> :173-182 (1988)
F	Blackburn et al., BOOK: <u>Telomeres</u> , Chapter 13, "Plant Telomeres", Cold Spring Harbor Laboratory Press, pp. 371-387 (1995)
G	de Jong <i>et al.</i> , "Chromosome and DNA-Mediated Gene Transfer in Cultured Mammalian Cells", <i>International Review of Cytology</i> , <u>92</u> :133-158 (1984)
Н	Eckdahl <i>et al.</i> , "DNA structures associated with autonomously replicating sequences form plant", <i>Plant molecular Biol.</i> , <u>12</u> :506-516 (1989)
	Frary et al., "Molecular mapping of the centromeres of tomato chromosomes 7 and 9", Mol. Gen. Genet., 250:295-304 (1996)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Titl: ARTIFICIAL CHROMOSOMES, USES THEREOF AND METHODS FOR PREPARING ARTIFICIAL CHROMOSOMES

⁺ Derwent English language abstract and/or English translation provided.

FORM PTO-1449	ATTY. DOCKET NO. 24601-402G	SERIAL NO. CONFIRM NO. 09/724,693 7857		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT HADLACZKY et al.	CUSTOMER NO. 24961		
STATEMENT	FILING DATE November 28, 2000	GROUP 1632		

^{*} If an asterisk is placed beside the reference number, a copy is NOT provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. § 1.98(d).

O Greent Eng	lish lang	guage abstract and/or English translation provided.
III 3 0 2003 =	0	THER ART (Including Author, Title, Date, Pertinent Pages, Etc.)
	J	Hadlaczky <i>et al.</i> , "DNA Synthesis And Division In Interkingdom Heterokaryons", <i>In Vitro</i> , 16(8):647-650 (1980)
RADEMA	К	Hoffman <i>et al.</i> , "Lipochromosome Mediated Gene Transfer: Identification And Probable Specificity Of Localization Of Human chromosomal Material And Stability Of The Transferents", <i>In Vitro</i> , 17/8:735-740 (1981)
	L	Houben et al., "Immunostaining and interphase arrangement of field bean kinetochores", Chrom. Res., 3:27-31 (1995)
	М	Kaszas <i>et al.</i> , "Misdivision analysis of centromere structure in maize", <i>EMBO J.</i> , 15(19):5246-5255 (1996)
	N	Jiang et al., "A conserved repetitive DNA element located in the centromeres of cereal chromosomes", <i>Proc. Natl. Acad. Sci. U.S.A.</i> , <u>93</u> :14210-14213 (1996)
	0	Mole-Bajer et al., "Autoantibodies from a patient with scleroderma CREST recognized kinetochores of the higher plant <i>Haemanthus</i> ", <i>Proc. Natl. Acad. Sci. U.S.A.</i> , <u>87</u> :3599-3603 (1990)
	Р	Moore <i>et al.</i> , "Centromeric sites and cereal chromosome evolution", <i>Chromosoma</i> , 105:321-323 (1997)
	Q	Rhodes et al., "Telomere structure and function", Curr. Opin. Struc. Biol., <u>5</u> :311-322 (1995)
	R	Mukherjee <i>et al.</i> , "Entrapment of metaphase chromosomes into phospholipid vesicles (lipochromosomes): Carrier potential in gene transfer", <i>Proc. Natl. Acad. Sci. USA</i> , 75/3:1361-1365 (1978)
	S	Voet, D. and Voet, J., BOOK: <u>Biochemistry</u> , Chapter 33, "Eukaryotic Gene Expression", John Wiley & Sons, New York, p. 1033 (1990)
	Т	Zakian, "Telomeres: Beginning to Understand the End", Science, 270:1601-1607 (1995)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Titl: ARTIFICIAL CHROMOSOMES, USES THEREOF AND METHODS FOR PREPARING ARTIFICIAL CHROMOSOMES